

# WEAK IDENTITIES, PHANTOM MAPS AND *H*-SPACES

BY

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## ABSTRACT

For a pointed space  $X$ , let  $\text{Aut}(X)$  be the group of pointed homotopy classes of pointed self-homotopy equivalences of  $X$  and let  $\text{WI}(X)$  be the normal subgroup of  $\text{Aut}(X)$  consisting of weak identities, that is, elements represented by maps weakly homotopic to the identity map. If  $X$  is a path-connected CW-space satisfying certain finiteness conditions, then the author has shown elsewhere that the quotient group  $\text{Aut}(X)/\text{WI}(X)$  is a residually finite group. If, in addition,  $X$  supports a homotopy-associative  $H$ -space structure, has a finite fundamental group, and has finitely generated higher homotopy groups, it is shown here that any normal subgroup  $N$  of  $\text{Aut}(X)$  rendering the quotient group  $\text{Aut}(X)/N$  residually finite must contain  $\text{WI}(X)$ . The proof relies on establishing an isomorphism between  $\text{WI}(X)$  and the group  $\text{Ph}(X)$  of pointed homotopy classes of phantom self-maps of  $X$  and making a detailed analysis of the group-theoretic structure of the latter, following W. Meier and A. Zabrodsky.

A few years ago, I became interested in the group-theoretic behavior of the “automorphism group”  $\text{Aut}(X)$  of a certain kind of (possibly infinite-dimensional) CW-space  $X$ . When  $X$  is, in addition, a homotopy-associative  $H$ -space, I noticed that the set of homotopy classes of phantom self-maps of  $X$ ,  $\text{Ph}(X)$ , entered naturally into the picture and I initiated (in late 1983) a correspondence with Alex Zabrodsky, hoping he could tell me something about the structure of  $\text{Ph}(X)$ .

By sheer coincidence, Zabrodsky had been working on refinements and consequences of Haynes Miller’s theorem proving the Sullivan conjecture

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and had derived considerable information on the structure of  $\text{Ph}(X, Y)$ , the set of homotopy classes of phantom maps from  $X$  to  $Y$ , under suitable restrictions on  $X$  and  $Y$ . As a result of our correspondence, it became possible to deduce a highly satisfactory supplement to a theorem I had discovered asserting the residual finiteness of a certain quotient group of  $\text{Aut}(X)$ .

At that time, it was my hope that we would eventually produce a joint note on the results obtained. Unfortunately, as often happens, other matters took precedence, time passed and the suggestion to write up a joint paper was never made (by either one of us). The present work is my development of the essential points of our brief collaboration and will hopefully serve as a fitting tribute to a most talented topologist and fine individual.

### §1. Phantom maps: generalities

Throughout, all spaces will be assumed to be pointed and path-connected CW-spaces; maps between spaces will be assumed to be pointed. The set of (pointed) homotopy classes of maps from  $X$  to  $Y$  is written, as usual, as  $[X, Y]$ .

For  $u$  in  $[X, Y]$ ,  $\text{Ph}(X, Y; u)$  is defined as the set of all  $v$  in  $[X, Y]$  such that  $v$  is "weakly homotopic" to  $u$ ; that is, if  $W$  is any finite complex and  $f: W \rightarrow X$  any map, then  $u \cdot f = v \cdot f$ . [Here, and elsewhere, the distinction between a map and its homotopy class will be blurred whenever it is deemed safe to do so.] If  $u = 0$ , the constant map taking  $X$  to the basepoint of  $Y$ , then  $\text{Ph}(X, Y; u)$  is written  $\text{Ph}(X, Y)$  and referred to as the set of (homotopy classes of) phantom maps from  $X$  to  $Y$ . [See [M1], [M2], [M3], [Z2], where the notation  $\theta(X, Y)$  is used instead of  $\text{Ph}(X, Y)$ . Earlier work on the subject, using a somewhat different notion of phantom map, may be found in [AW], [G].]

In general, one cannot expect a relationship among the various sets  $\text{Ph}(X, Y; u)$ ,  $u$  in  $[X, Y]$ . There is one situation, however, in which there is a close relationship among the  $\text{Ph}(X, Y; u)$ . Namely, suppose that  $Y$  admits a homotopy-associative  $H$ -space structure. Then the set  $[X, Y]$  is naturally furnished with a group structure, which we write additively, even though it is generally non-abelian. The proof of the following is easily supplied.

**PROPOSITION 1.1.** (i) *With respect to the indicated group structure on  $[X, Y]$ , the set  $\text{Ph}(X, Y)$  is a normal subgroup of  $[X, Y]$ .*

(ii) *For  $u$  in  $[X, Y]$ ,  $\text{Ph}(X, Y; u)$  coincides with the coset  $\text{Ph}(X, Y) + u$ .*

$\text{Ph}(X, Y; u)$  has fairly evident functorial properties, which we are content to spell out in the case  $u = 0$ . Thus,  $f: X_1 \rightarrow X_2$  induces  $f^*: [X_2, Y] \rightarrow [X_1, Y]$ , which restricts to

$$(1.1) \quad \overline{f^*}: \text{Ph}(X_2, Y) \rightarrow \text{Ph}(X_1, Y).$$

When  $Y$  is a homotopy-associative  $H$ -space,  $f^*$  and  $\overline{f^*}$  are group homomorphisms. This may be expressed as

$$(1.2) \quad (\alpha + \beta) \cdot f = \alpha \cdot f + \beta \cdot f, \quad \alpha, \beta \text{ in } [X_2, Y],$$

with the three terms  $\alpha \cdot f, \beta \cdot f, (\alpha + \beta) \cdot f$  all in  $\text{Ph}(X_1, Y)$  if  $\alpha, \beta$  are in  $\text{Ph}(X_2, Y)$ . Also,  $g: Y_1 \rightarrow Y_2$  induces  $g_*: [X, Y_1] \rightarrow [X, Y_2]$ , which restricts to

$$(1.3) \quad \overline{g_*}: \text{Ph}(X, Y_1) \rightarrow \text{Ph}(X, Y_2).$$

When  $Y_1$  and  $Y_2$  are homotopy-associative  $H$ -spaces and  $g$  is an  $H$ -map,  $g_*$  and  $\overline{g_*}$  are group homomorphisms. This may be expressed as

$$(1.4) \quad g \cdot (\alpha + \beta) = g \cdot \alpha + g \cdot \beta, \quad \alpha, \beta \text{ in } [X, Y_1], \quad g \text{ an } H\text{-map},$$

with the three terms  $g \cdot \alpha, g \cdot \beta, g \cdot (\alpha + \beta)$  all in  $\text{Ph}(X, Y_2)$  if  $\alpha, \beta$  are in  $\text{Ph}(X, Y_1)$ .

Let now  $X = Y$ .  $\text{Ph}(X, Y; u)$  may then be abbreviated to  $\text{Ph}(X; u)$ ,  $\text{Ph}(X, Y)$  to  $\text{Ph}(X)$ . If  $u = 1_X$ , the identity map of  $X$  to itself,  $\text{Ph}(X; 1_X)$  was written as  $\text{WI}(X)$  in [R] and referred to as the set of weak identities. The set  $[X, X]$  has a multiplicative semigroup structure arising from the composition of maps. If  $X$  is a homotopy-associative  $H$ -space, giving rise to the additive group structure on  $[X, X]$  discussed above, there is a left-distributive law

$$(1.5) \quad (\alpha + \beta) \cdot \gamma = \alpha \cdot \gamma + \beta \cdot \gamma, \quad \alpha, \beta, \gamma \text{ in } [X, X],$$

connecting these two binary operations on  $[X, X]$  (see (1.2)), but the right-distributive law

$$(1.6) \quad \gamma \cdot (\alpha + \beta) = \gamma \cdot \alpha + \gamma \cdot \beta, \quad \alpha, \beta, \gamma \text{ in } [X, X],$$

is valid only when  $\gamma$  is an  $H$ -map (see (1.4)).

Both  $\text{WI}(X)$  and  $\text{Ph}(X)$  are multiplicative sub-semigroups of  $[X, X]$ . In fact,

**PROPOSITION 1.2.** (i)  $\text{WI}(X)$  is a normal subgroup of the multiplicative group of units  $[X, X]^*$  (aka  $\text{Aut}(X)$ ) in  $[X, X]$ .

(ii)  $\text{Ph}(X)$  is a "two-sided ideal" of  $[X, X]$  in the sense that, aside from

being an additive normal subgroup of  $[X, X]$  in the event  $X$  is a homotopy-associative  $H$ -space,  $\phi \cdot \alpha, \alpha \cdot \phi$  are in  $\text{Ph}(X)$  whenever  $\phi$  is in  $\text{Ph}(X)$  and  $\alpha$  is in  $[X, X]$ .

Observe that the group structure on  $\text{WI}(X)$  is independent of any  $H$ -space structure on  $X$ ; in fact, it is clearly defined even when  $X$  admits no  $H$ -space structure. On the other hand, the group structure on  $\text{Ph}(X)$  does seem to depend on the  $H$ -space structure on  $X$ . Nevertheless, as will be seen in §3, there is, rather surprisingly, an isomorphism from the (additive) group  $\text{Ph}(X)$  to the (multiplicative) group  $\text{WI}(X)$  given by the one-to-one correspondence

$$\phi \rightarrow \phi + 1_X, \quad \phi \text{ in } \text{Ph}(X),$$

implicit in Proposition 1.1(ii), at least if additional restrictions are imposed on  $X$ . Furthermore, under these same restrictions on  $X$ ,  $\text{Ph}(X)$  turns out to possess some remarkable group-theoretic properties (§2) enabling us to prove the main result (§3).

**§2. Phantom maps: rationalization**

For the remainder of the paper,  $X$  and  $Y$  will be taken to be nilpotent, of finite homotopical type (that is,  $\pi_n X$  is finitely generated for  $n \geq 1$ ) and with finite fundamental group. We write  $X_{(0)}$  for the rationalization of  $X$ ,  $r: X \rightarrow X_{(0)}$  for a rationalization map and  $X_\tau$  for the homotopy-fibre of  $r$ . Thus we have a fibration

$$(2.1) \quad X_\tau \xrightarrow{i} X \xrightarrow{r} X_{(0)}.$$

Since  $\pi_1 X$  is finite,  $\pi_1 X_{(0)} = 0$  and so  $X_\tau$  is path-connected. According to [HMR; Th. II.2.2],  $X_\tau$  is therefore itself a nilpotent space. The homotopy exact sequence associated to (2.1) gives rise to short exact sequences

$$Q/Z + \cdots + Q/Z \rightarrow \pi_n X_\tau \rightarrow \tau(\pi_n X), \quad n \geq 1,$$

$\tau(\pi_n X)$  denoting the (finite) torsion subgroup of  $\pi_n X$ ; thus the groups  $\pi_n X_\tau$ ,  $n \geq 1$  are *locally finite* (countable) groups.

We will need the following two general lemmas concerning rationalization.

**LEMMA 2.1.** *The fibration (2.1) is also, up to homotopy, a cofibration.*

A yet more general result along these lines may be found in [A], where

references to earlier work of a similar nature due to R. J. Milgram, Z. Wojtkowiak and S. J. Schiffman are given. It may be remarked that while the condition that  $X$  have finite homotopical type is irrelevant for Lemma 2.1, the condition that  $\pi_1 X$  be a torsion group is necessary, as well as sufficient.

**LEMMA 2.2.** *If  $j : U \rightarrow V$  is a rational homotopy equivalence of nilpotent spaces of finite homotopical type, then  $j_* : [X_{(0)}, U] \rightarrow [X_{(0)}, V]$  is a bijection. (See [Z2; 1.1.5].)*

For completeness, we sketch a proof of Lemma 2.2. As  $X_{(0)}$  is 1-connected, we may assume  $X_{(0)}$  decomposed as a "rational" cell complex ([HMR; p. 57]). Thus,  $X_{(0)}^2$  is a wedge  $VS_{(0)}^2$  of rational 2-spheres,  $X_{(0)}^{n+1}$  is obtained from  $X_{(0)}^n$  by attaching a disjoint union of "rational  $(n+1)$ -cells" by means of a map  $VS_{(0)}^n \rightarrow X_{(0)}^n$ , and  $X_{(0)} = \varinjlim X_{(0)}^n$ . We proceed inductively to show

$$j_* : [X_{(0)}^n, U] \rightarrow [X_{(0)}^n, V]$$

is a bijection.

Since  $X_{(0)}$  is 1-connected,  $U$  and  $V$  may also be taken to be 1-connected and hence the homotopy-fibre  $F$  of  $j$  is path-connected, nilpotent. For  $n = 2$ , we have an exact sequence

$$\rightarrow [VS_{(0)}^2, F] \rightarrow [VS_{(0)}^2, U] \xrightarrow{j_*} [VS_{(0)}^2, V] \rightarrow [VS_{(0)}^1, F] \rightarrow \dots$$

Since  $\pi_n F$  is finite for all  $n \geq 1$ ,

$$H^n(S_{(0)}^n; \pi_n F) = \text{Hom}(H_n S_{(0)}^n, \pi_n F) = 0,$$

$$H^{n+1}(S_{(0)}^n; \pi_{n+1} F) = \text{Ext}(H_n S_{(0)}^n, \pi_{n+1} F) = 0, \quad n \geq 1.$$

By obstruction theory,  $[S_{(0)}^n, F] = 0, n \geq 1$ . Therefore the case  $n = 2$  is settled. The inductive step is proved by applying a Five Lemma argument to the evident commutative diagram with exact rows

$$\begin{array}{ccccccccc} [VS_{(0)}^n, U] & \leftarrow & [X_{(0)}^n, U] & \leftarrow & [X_{(0)}^{n+1}, U] & \leftarrow & [VS_{(0)}^{n+1}, U] & \leftarrow & [\Sigma X_{(0)}^n, U] \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ [VS_{(0)}^n, V] & \leftarrow & [X_{(0)}^n, V] & \leftarrow & [X_{(0)}^{n+1}, V] & \leftarrow & [VS_{(0)}^{n+1}, V] & \leftarrow & [\Sigma X_{(0)}^n, V]. \end{array}$$

A simple limit argument then establishes that

$$j_* : [X_{(0)}, U] \rightarrow [X_{(0)}, V]$$

is a bijection.

We turn now to a closer examination of the set  $\text{Ph}(X, Y)$ . The following result of Meier and Zabrodsky is crucial ([Z2; 2.1.2.]).

**PROPOSITION 2.1.**  *$\phi$  is in  $\text{Ph}(X, Y)$  iff there exists  $\tilde{\phi}$  in  $[X_{(0)}, Y]$  such that*

$$\phi = \tilde{\phi} \cdot r.$$

By virtue of Lemma 2.1, there is an exact sequence of sets

$$(2.2) \quad [X_\tau, Y] \xleftarrow{i^*} [X, Y] \xleftarrow{r^*} [X_{(0)}, Y] \xleftarrow{q^*} [\Sigma X_\tau, Y] \longleftarrow,$$

$q: X_{(0)} \rightarrow \Sigma X_\tau$  being the “connecting map” in the fibration-cofibration (2.1). Proposition 2.1 identifies  $\text{Ph}(X, Y)$  with the image of  $r^*$  in (2.2). We study the latter when  $Y$  is an  $H$ -space.

Being an  $H$ -space,  $Y$  is rationally equivalent to a product of Eilenberg–MacLane spaces  $K(Z, n)$ . More precisely, let

$$K = \prod K(\pi'_n Y, n),$$

where  $\pi'_n Y$  denotes the quotient group  $\pi_n Y / \tau(\pi_n Y)$ . Then there is a rational homotopy equivalence  $j: Y \rightarrow K$ . Furthermore,  $j$  may be chosen to be an  $H$ -map for the given  $H$ -space structure on  $Y$  and for some (not necessarily unique)  $H$ -space structure on  $K$  — see [Z1; 4.4.3]. If

$$K_{(0)} = \prod K(\pi'_n Y \otimes Q, n)$$

and  $\rho: K \rightarrow K_{(0)}$  is the evident (rationalization) map, then the composition  $\rho \cdot j$  serves as a rationalization map  $r: Y \rightarrow Y_{(0)}$ , which will be used later.

Now, (2.2) embeds as the top row in a commutative diagram

$$(2.3) \quad \begin{array}{ccccccc} [X_\tau, Y] & \longleftarrow & [X, Y] & \xleftarrow{r^*} & [X_{(0)}, Y] & \xleftarrow{q^*} & [\Sigma X_\tau, Y] \\ & & \downarrow & & \downarrow j_* & & \downarrow j_* \\ [X_\tau, K] & \longleftarrow & [X, K] & \xleftarrow{r^*} & [X_{(0)}, K] & \xleftarrow{q^*} & [\Sigma X_\tau, K]. \end{array}$$

With respect to the given  $H$ -space structure on  $Y$  and the  $H$ -space structure “induced” on  $K$ , all the maps in (2.3) are homomorphisms of algebraic loops (for a definition, see [B]). We wish to show that some of these algebraic loops are actually groups, and for this purpose, we need a lemma.

**LEMMA 2.3.**  *$r^*: [X_{(0)}, K] \rightarrow [X, K]$  is the trivial map.*

**PROOF.** As  $K$  is a product of  $K(Z, n)$ 's it suffices to prove that

$$r^* : H^n(X_{(0)}; Z) \rightarrow H^n(X; Z)$$

is the zero map,  $n > 0$ . By the Universal Coefficient Theorem, there is a commutative diagram with short exact rows

$$(2.4) \quad \begin{array}{ccccc} \text{Ext}(H_{n-1}X_{(0)}, Z) & \twoheadrightarrow & H^n(X_{(0)}; Z) & \twoheadrightarrow & \text{Hom}(H_n X_{(0)}, Z) \\ \downarrow & & \downarrow r^* & & \downarrow \\ \text{Ext}(H_{n-1}X, Z) & \twoheadrightarrow & H^n(X; Z) & \twoheadrightarrow & \text{Hom}(H_n X, Z) \end{array}$$

As  $X$  is of finite type,  $\text{Ext}(H_{n-1}X_{(0)}, Z)$  is divisible while  $\text{Ext}(H_{n-1}X, Z)$  is finite; also,  $\text{Hom}(H_n X_{(0)}, Z) = 0$ . Thus  $r^*$ , in (2.4), is indeed the zero map.

It follows from Lemma 2.3 that  $q^* : [\Sigma X_\tau, K] \rightarrow [X_{(0)}, K]$ , in (2.3), is surjective. But,  $\Sigma X_\tau$  being a suspension, the algebraic loop structure on  $[\Sigma X_\tau, K]$  is a group structure. In fact, this group structure is independent of the  $H$ -space structure on  $K$  and is abelian. Hence, the algebraic loop structure on  $[X_{(0)}, K]$  is also an (abelian) group structure, independent of the  $H$ -space structure of  $K$ .

The map  $j_* : [X_{(0)}, Y] \rightarrow [X_{(0)}, K]$ , in (2.3), is both a bijection (Lemma 2.2) and a homomorphism of algebraic loops. Thus, the algebraic loop structure on  $[X_{(0)}, Y]$  is actually an (abelian) group structure, independent of the  $H$ -space structure on  $Y$  (whether homotopy-associative or not), and  $j_* : [X_{(0)}, Y] \rightarrow [X_{(0)}, K]$  is an isomorphism of groups. We may now state

**THEOREM 2.1.** *Let  $Y$  be an  $H$ -space. The natural algebraic loop structure on  $\text{Ph}(X, Y)$  (compare Proposition 1.1(i)) is a group structure which is abelian, independent of the  $H$ -space structure on  $Y$  and divisible (compare [Z2; 2.1.4]).*

**PROOF.** We have already noted (Proposition 2.1 *et seq.*) the identification

$$\text{Ph}(X, Y) \cong r^*[X_{(0)}, Y].$$

Chasing around the diagram (2.3), we find

$$(2.5) \quad r^*[X_{(0)}, Y] \cong [X_{(0)}, Y]/q^*[\Sigma X_\tau, Y]$$

$$(2.6) \quad \cong [X_{(0)}, K]/q^*j_*[\Sigma X_\tau, Y].$$

All the assertions of Theorem 2.1, except for the divisibility, follow from (2.5). To obtain the divisibility statement, impose the standard  $H$ -space structure on  $K$  and identify

$$\begin{aligned}
 [X_{(0)}, K] &\cong \prod H^n(X_{(0)}; \pi'_n Y) \\
 &\cong \prod \text{Ext}(H_{n-1} X_{(0)}, \pi'_n Y).
 \end{aligned}$$

The latter group, and hence any quotient group thereof, is certainly divisible, so (2.6) completes the proof.

Imposing further conditions on  $X, Y$  leads to more precise information on  $\text{Ph}(X, Y)$ .

**THEOREM 2.2** ([Z2; 4.1.]). *Suppose that  $X$  has only finitely many non-zero homotopy groups and that (the  $H$ -space)  $Y$  has the homotopy type of a finite complex. Then*

(i)  $\text{Ph}(X, Y) \cong \prod \text{Ext}(H_{n-1} X_{(0)}, \pi'_n Y)$ ;

(ii) *If there is an integer  $n$  for which both  $H_n X$  and  $\pi_{n+1} Y$  have  $\mathbb{Z}$ -rank  $\geq 1$ , then  $\text{Ph}(X, Y)$  is uncountable. If no such integer  $n$  exists, then  $\text{Ph}(X, Y) = 0$  (compare [M2; Prop. 2]).*

**PROOF.** For part (i), it suffices, by the proof of Theorem 2.1 (see (2.6)), to show that  $[\Sigma X_\tau, Y] = 0$ , given the additional conditions on  $X, Y$ . But this follows from Zabrodsky's extension of Haynes Miller's theorem, as explained in [Z2; 3.2].

Part (ii) follows readily from part (i), using the calculation

$$\text{Ext}(Q, Z) \cong R.$$

**REMARK.** It is interesting to observe that Theorem 2.2 falls completely apart if the assumption on the finiteness of  $\pi_1 X$  is removed. For instance, if  $X = S^1 \times S^1$  and  $Y = S^3$ , then  $\text{Ph}(X, Y) = 0$  while  $\prod \text{Ext}(H_{n-1} X_{(0)}, \pi'_n Y) \cong \mathbb{R}$ .

### §3. Phantom maps and weak identities

In this section, we take  $X = Y$  and require  $X$  to be a homotopy-associative  $H$ -space. Recall (Proposition 1.1(ii)) the bijection  $\text{Ph}(X) \rightarrow \text{WI}(X)$  given by

$$\phi \rightarrow \phi + 1_X,$$

where “+” is the binary operation induced by the  $H$ -space structure on  $X$ .

**THEOREM 3.1.** *The bijection  $\text{Ph}(X) \rightarrow \text{WI}(X)$  just described is an isomorphism of groups.*

**PROOF.** For  $\phi_1, \phi_2$  in  $\text{Ph}(X)$ , we must compute the product  $(\phi_1 + 1) \cdot (\phi_2 + 1)$ . (We abbreviate  $1_X$  to 1.) First use Proposition 2.1 to write

$$\phi_i = \tilde{\phi}_i \cdot r, \quad i = 1, 2.$$

Recall, from §2, that  $r$  may be chosen to be of the form

$$X \xrightarrow{j} K \xrightarrow{\rho} K_{(0)} = X_{(0)}.$$

Now,

$$\begin{aligned} (\phi_1 + 1) \cdot (\phi_2 + 1) &= \phi_1 \cdot (\phi_2 + 1) + (\phi_2 + 1) \quad (\text{see (1.5)}) \\ &= (\tilde{\phi}_1 \cdot r) \cdot (\tilde{\phi}_2 \cdot r + 1) + (\phi_2 + 1) \\ &= \tilde{\phi}_1 \cdot \{r \cdot (\tilde{\phi}_2 \cdot r + 1)\} + (\phi_2 + 1) \\ &= \tilde{\phi}_1 \cdot \{r \cdot \tilde{\phi}_2 \cdot r + r\} + (\phi_2 + 1) \quad (\text{see (1.6); } r \text{ is an } H\text{-map}) \\ &= \tilde{\phi}_1 \cdot \{\rho \cdot j \cdot \tilde{\phi}_2 \cdot r + r\} + (\phi_2 + 1) \\ &= \tilde{\phi}_1 \cdot \{0 + r\} + (\phi_2 + 1) \quad (j \cdot \tilde{\phi}_2 \cdot r = 0 \text{ by Lemma 2.3}) \\ &= \phi_1 + \phi_2 + 1, \end{aligned}$$

and Theorem 3.1 is proved.

REMARKS. (1) The calculations in Theorem 3.1 take place in  $[X, X]$ , not in  $\text{Ph}(X)$ . The latter is a group even if the  $H$ -space structure on  $X$  is not homotopy-associative but the former will not be a group in general unless  $X$  is assumed homotopy-associative.

(2) A portion of the above calculation shows that  $\text{Ph}(X)$  is nilpotent of class 2 in the sense that the product of any 2 elements in  $\text{Ph}(X)$  is 0. The proof depends on being able to factor  $r$  as  $\rho \cdot j$  with the target of  $j$  being a product of  $K(Z, n)$ 's. Hence, this nilpotency result remains valid even if  $X$  is only assumed to be a "rational  $H$ -space." Does it continue to hold even more generally?

Returning to the situation in Theorem 3.1, we see that  $\phi^n = 0, n \geq 2$ , for any  $\phi$  in  $\text{Ph}(X)$ . Thus the formal exponential expression  $\exp(\phi) = \sum \phi^n/n!$  reduces to  $1 + \phi$  (which may differ from  $\phi + 1$  since  $[X, X]$ , unlike  $\text{Ph}(X)$ , need not be abelian). The isomorphism in Theorem 3.1 may therefore be described as an "anti-exponential" isomorphism.

Theorem 3.1 leads to an interesting supplement to [R; Th. 4.4], which asserts that, under rather general finiteness conditions on  $X$  (all nilpotent spaces of finite homotopical type are included), the quotient group  $\text{Aut}(X)/\text{WI}(X)$  is a residually finite group.

**THEOREM 3.2.** *If  $X$  is a homotopy-associative  $H$ -space of finite homotopical type and with finite fundamental group, and if  $N$  is any normal subgroup of  $\text{Aut}(X)$  yielding a residually finite quotient group  $\text{Aut}(X)/N$ , then  $N \supset \text{WI}(X)$ .*

**PROOF.** Virtually by the definition of residual finiteness, the group  $\text{Aut}(X)/N$  embeds into a Cartesian product of finite groups  $\prod \Gamma_\alpha$ . Consider the composition

$$(3.1) \quad \text{WI}(X) \xrightarrow{\text{inclusion}} \text{Aut}(X) \xrightarrow{\text{projection}} \text{Aut}(X)/N \xrightarrow{\text{embedding}} \prod \Gamma_\alpha.$$

By Theorems 2.1 and 3.1,  $\text{WI}(X)$  is (abelian) divisible. Therefore, each  $\Gamma_\alpha$  being finite, (3.1) is the trivial map and so  $\text{WI}(X) \subset N$ .

**QUESTION.** Does Theorem 3.2 continue to hold for more general  $X$ ?

We conclude by giving an example of a space  $T$  of the type described in Theorem 3.2 in which  $\text{WI}(T)$  is uncountable. First observe that a map  $f: X \rightarrow Y$  induces a self-map  $X \times Y \rightarrow X \times Y$  defined by

$$(x, y) \rightarrow (0, f(x)).$$

There results an injective map

$$[X, Y] \rightarrow [X \times Y, X \times Y]$$

which, in fact, restricts to an (injective) map

$$\text{Ph}(X, Y) \rightarrow \text{Ph}(X \times Y).$$

Take  $X = K(Z, 2) = CP^\infty$ ,  $Y = S^3$ . These two spaces satisfy the conditions of Theorem 2.2 and are both homotopy-associative  $H$ -spaces (using the standard  $H$ -space structures). Furthermore,

$$H_2X \cong Z \cong \pi_3Y.$$

By Theorem 2.2,  $\text{Ph}(X, Y)$  is uncountable (compare [G], [M2], [M3]), hence also  $\text{Ph}(X \times Y)$  is uncountable. It follows that  $\text{WI}(X \times Y)$  is itself uncountable;  $X \times Y$  is the promised space  $T$ .

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